

## A Novel Framework Using Machine Learning to Effectively Analyze the Faculty Evaluations

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**Abstract:** In this paper, a three pronged solution to faculty evaluation is proposed. Almost in every university, faculty and course evaluations are filled by students after the completion of courses. Due to the large volume of such evaluations, it becomes very difficult for management to carefully analyze them. This paper proposes a framework based on machine learning techniques that can be adopted for effective evaluation of faculty. It uses k-means clustering to group the evaluations and points out the specific area on which management needs to work on with faculty. Along with the quantitative evaluation of faculty, students also provide feedback in the form of comments. The proposed solution performs sentiment analysis on those comments. If there is a high emotion (positive or negative) associated with comments, an email can be sent in real-time to higher management. Another important component of proposed solution is providing summary of the topics discussed in the lectures via transcribing their recorded lecture and then applying machine learning on transcripts.

**Keywords:** Faculty evaluation, machine learning, clustering, sentiment analysis, speech recognition, topic classification

### Introduction

In recent past, an interdisciplinary domain called educational data mining or learning analytics have emerged as a viable approach for analyzing the academic data. Data mining is a knowledge discovery technique that is used to find generalized pattern in data. A number of tool such as Microsoft Excel, Python, Structured Query Language (SQL), RapidMiner, Waikato Environment for Knowledge Analysis (WEKA), Statistical Package for the Social Sciences (SPSS), Konstanz Information Miner (KNIME), Orange and Knowledge Extraction based on Evolutionary Learning (KEEL) are in used for analytics purposes (Slater, Joksimović, Kovanovic, Baker, & Gasevic, 2017). Educational institutions store huge amount of data such as admission, enrollment, examination, attendance and faculty evaluation (Government of Pakistan, 2009). A great source of information is available in learning management system (LMS). By analyzing such data, insights can be gained and educational process improvement can be performed.

The hypothesis of this research paper is to employ machine learning techniques to analyze the faculty evaluation data. Machine learning is based on using historical data to derive a mathematical model that can be used to make future predictions. Every semester,

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faculty evaluations are filled by students. These evaluations are performed such that students provide their feedback on a Likert Scale. In addition, the students can also give their comments about the course contents, methodology and teaching style of the instructor. Because of the huge volume of data, it is not possible to manually analyze these evaluations. By using machine learning techniques, we can analyze those evaluations as well as pinpointing the areas requiring further improvement. The free form comments given by students can also be examined using sentiment analysis technique. The sentiment analysis is the process of analyzing a piece of text to determine the positive or negative opinion of the user about the topic. Finally, we can also analyze the lectures delivered by faculty using speech recognition and natural language processing techniques.

In the same direction, this research paper proposed a framework for improving the education process. The major contributions of this research work are as follows:

- a. grouping faculty evaluations and helps management in analyzing them
- b. perform sentiment analysis and
- c. summarize the topics presented by a faculty during the lecture.

As a case study, this work applies the proposed solution on Iqra University, Gulshan Campus and subsequent results are reported. To our knowledge, this is the first solution that applies topic classification of faculty lectures. The proposed solution provides several benefits to the administration. Using the approach, faculty can identify their weaknesses and higher management can better allocate courses. In addition, the annual confidential report (ACR) can be accordingly filled.

Rest of the sections of the paper have been organized as follows. The literature review has been provided in the next section. The proposed approach is discussed afterwards which is followed by discussion on implementation and results. The paper concludes with highlighting the contributions of this paper and discussing the future work.

## Literature Review

The field of educational data mining has been a topic of intense research in past few years. [Romero, Ventura, and García \(2008\)](#) analyzed the educational data present in Moodle (a learning management tool) and proposed activities such as statistics, visualization, classification, clustering and association rules mining. Classification is the process of categorizing into predefined classes based on various features (such as textual, image, voice). Clustering is an unsupervised learning technique that groups the data based on their properties.

An overview of various educational data mining tools have been provided in [Slater et al. \(2017\)](#). An important aspect of educational data mining is analyzing the faculty evaluations, which is a thorny task if performed manually. According to [Duong, Do, and Nguyen \(2015\)](#), faculty evaluation approaches can be classified as statistical, machine learning and hybrid approaches. In this direction, ([Jiang, Javaad, & Golab, 2016](#)) uses

statistical models for analyzing the course evaluations of a Canadian university. Linear regression models and techniques from information theory were used for this purpose. They concluded that teaching quality is affected by attitude of teacher and visual presentation. They also found that course load doesn't have any effect on course rating.

Geng and Guo (2012) uses association rules mining technique called Apriori algorithm to gauge faculty performance and then provide decision support capabilities for higher management. An information theory-based algorithm was proposed by Do, Tran, Le, and Duong (2017) to detect lectures that are entirely different from other lectures called outliers. Dutt, Aghabozrgi, Ismail, and Mahroeian (2015) discussed various clustering techniques applied on educational data to mine useful patterns.

Pal and Pal (2013) proposed a hybrid approach to faculty evaluation. They first used various classification techniques such as Nave Bayes, ID3 and CART for faculty evaluation. Then the impact of various factors was analyzed based on various statistical tests such as Chi Square, Info gain and gain ratio tests.

In some of the studies, students' comments were analyzed to mine useful information or to perform sentiment analysis on those comments. The earliest work that analyzes the sentiments was proposed in Leong, Lee, and Mak (2012) that explores the potential of text mining for analyzing students feedback. Gates, Wilkins, Conlon, Mossing, and Eftink (2014) analyzed the sentiment of student comments using machine learning techniques. The sentiment analysis comprises different steps such as corpus analysis, category selection, lexicon generation, processing, assessment and refining. Do, Duong, and Nguyen (2016) applied data mining techniques to analyze comments about the faculty for Ton Duc Thang University. Vo, Lam, Nguyen, and Tuong (2016) applied sentiment analysis on comments given during faculty evaluation by the students. The proposal was based on a novel idea called bag of structure (BoS). Conventional approach called bag of words (BoW) is based on storing only the frequency of words in a document but BoS also considers the structure of the document. Sagum, De Vera, Lansang, Sharon, and Respeto (2015) proposed a novel scheme for sentiment analysis based on part-of-speech tagging, score assignment and intensity multiplier etc. The system provides 70.85% accuracy in analyzing the sentiments.

Stupans, McGuren, and Babey (2016) used their own developed tool called Leximancer to interrogate the free-form comments of students. This provides a deeper understanding of the course that was not evident from quantitative data. Balahadia and Comendador (2016) performs opinion mining on students comment in Filipino or English, using Nave Bayes classifier. The results of the evaluation can be shown to higher management in the form of bar graphs and pie-charts.

Sanchez (2017) used a very different approach to analyze faculty performance based on citation analysis using Google Scholar. The results can be used for planning faculty, department and universities. We end the section with mentioning that there have been a number of studies that analyzed the faculty performance based on various factors. Adil and Fatima (2013) analyzed the impact of reward system on teacher's motivation. Similarly, Waseem, Frooghi, and Afshan (2013) deliberated on the effect of human resource practices on the faculty performance.

Based on the study of literature, we can easily conclude that the proposed three-

pronged solution is the first one that not only analyzes the faculty evaluations both qualitatively and quantitatively, but also put a check on the topics delivered in the class during their lecture time. The next section discusses the proposed scheme in detail.

## **Proposed Approach**

Figure 1 shows the block diagram of proposed approach. The students will use the online learning management system to provide their feedback about the faculty. A learning management system is a complete portal that can be used by faculty and students both to perform academic activities. A teacher can mark attendance, upload their lectures, conduct and grade quizzes, assignments and exams. Students can use the learning management system to view their academic status and performance as well as download instructor's provided resources. The students can also submit their feedback about courses at the end of the semester.

So, using the learning management system, students can submit their feedback about course in a Likert scale. In addition, student can provide free-form comment. These feedbacks will be recorded in a database. A database is a storage system in which the huge volume of academic records such as students details, course feedback and exam data are maintained.

The ratings of the students can then be analyzed using k-mean clustering algorithm. A clustering algorithm groups similar data (based on certain criteria such as response of the students) into clusters. Different types of clustering algorithms are available. The k-means is the most widely used clustering algorithm.

Besides the quantitative feedback, the free-form comments given by students can be analyzed using sentiment analysis part. Sentiment analysis is a technique to know the +ve or ve perception of audience about an event or topic. In this paper, the sentiment analysis has been performed using Google Natural Language API.

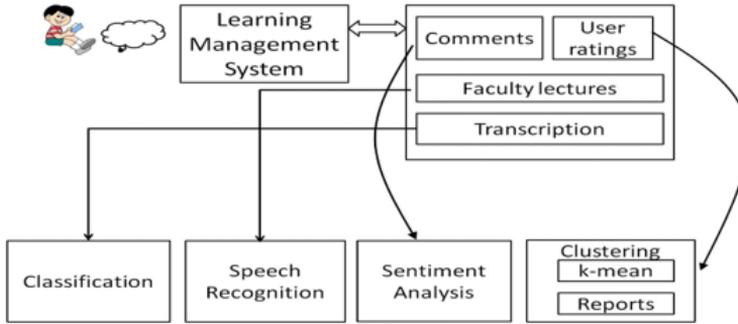
In addition to sentiment analysis, the recorded lectures of the faculty can be transcribed. We first record the audio lectures of faculty and then convert it into text form using speech recognition. Speech recognition is a technique that can be used to convert audio data into textual form. Once the text data is available, the Google's classification algorithm is applied on the lecture transcription to find what topics are covered by faculty member during a lecture. In this way, the higher management can randomly check what topics are covered by a faculty.

Alternatively, if a complaint of a faculty is received, then management can check their recorded lectures.

## **Clustering**

Clustering is defined as the grouping of data item on the basis of some similarity. The paper clusters courses on the basis of feedback given by students about a particular course or faculty. Table 1 shows the questions asked to the students for feedback purpose. The responses of the student can be provided from five options on a Likert scale. In addition, the students can give free-form comments about the faculty.

**Figure 1**  
Block diagram of proposed approach



**Table 1**  
Questionnaire for students to evaluate faculty

Questions	Choices				
What is the first impression about faculty?	Poor	Not Good	Fair	Very Good	Excellent
Does the faculty speak English during lecture?	Never	Seldom	Sometimes	Majority of time	Always
Are students comfortable?	Strongly disagree	Disagree	Neutral	Agree	Strongly Agree
Are students stressed out due to this course?	Strongly disagree	Disagree	Neutral	Agree	Strongly Agree
Are students clear about course objectives?	Strongly disagree	Disagree	Neutral	Agree	Strongly Agree
Are students satisfied with course contents?	Strongly disagree	Disagree	Neutral	Agree	Strongly Agree

### Silhouette Coefficient Analysis

Based on the responses of students, courses can be clustered into groups. An important question that arises is the number of clusters in which faculty should be grouped. This is dependent on the data and can vary for each case. The proposed approach first identifies the number of clusters by applying Silhouette coefficient analysis. It defines how the points in a cluster are similar to each other as compared to points in other cluster. The coefficient can be calculated using the following formula:

$$\frac{b(i) - a(i)}{\max [a(i), b(i)]}$$

where,  $a(i)$  is the mean distance between the instances in the current cluster and  $b(i)$  is the mean distance between the instance and the instances in the next closest cluster. The maximum value of  $s(i)$  is taken as the desired number of clusters.

Listing 1 shows how Silhouette coefficient is applied to find optimal number of clusters. The algorithm keeps on trying various values of  $k$  to find one with the highest value of Silhouette coefficient.

**Listing 1**

Applying Silhouette Coefficient to find the appropriate number of cluster

```

K = range (2, 6)
maxK=-1
max=-1
scs=[]
km= KMeans(n_clusters=2)
for k in K:
    kmeans = KMeans(n_clusters=k)
    kmeans.fit(data)
    sc=metrics.silhouette_score (data, kmeans.labels_, metric='euclidean')
    scs.append(sc)
    if maxK== -1 or sc>max:
        maxK=k
        max=sc
        km=kmeans
print ("Found ", maxK, " groups of clusters (faculty members) according to the faculty evaluation response")

```

Then, the rest of the points are assigned to each cluster. Having assigned the clusters to each point, the centroid for each cluster is recomputed. Then, the cluster assignment is repeated again. This process continues, until the lowest dispersion is achieved for the points in each cluster. Having clustered the data, in order to visually analyze the clusters, using principal component analysis, the dimensionality of the vector is reduced.

**Listing 2**

k-means clustering

1. Randomly picking  $n$  points as centroid of clusters
2. Assign the clusters to rest of points based on their distances from centroid
3. Re-compute centroid
4. Repeat steps until lowest dispersion is achieved

**Sentiment Analysis**

The second component of proposed solution is sentiment analysis. The comments given by students for a particular course are analyzed. Sentiment analysis can be performed using various techniques such as artificial neural networks, Bayesian classifier, nearest neighborhood classifier. However in this study, we have used the Google's natural language processing API for sentiment analysis. The primary objective is to obtain the feelings of students from a given text such as their attitude, emotion or opinion about a particular course; and inform higher management in real-time. The output of the sentiment analysis is in the form of sentiment score ranging from -1 to +1, and the magnitude of sentiment from 0 to infinity. If the sentiment value is extremely positive or negative, an email will be generated and sent to administrator.

## **Speech Recognition and Classification**

The third component of the proposed solution is speech recognition and classification. For speech recognition, different techniques such as Hidden Markov model or deep learning can be used. In this study, Google's speech recognition API is used that converts the audio into textual form in offline as well as online mode. Once, the lectures of a faculty are recorded, they can be transcribed using Google API. Now, in order to find the topics covered in a lecture, sliding window technique is used. Using the sliding window technique, a portion of text is analyzed. The topic classification can be applied on slices of text from the lecture. This enables identifying the topics on which a particular faculty has talked about during its lecture.

## **Implementation Details**

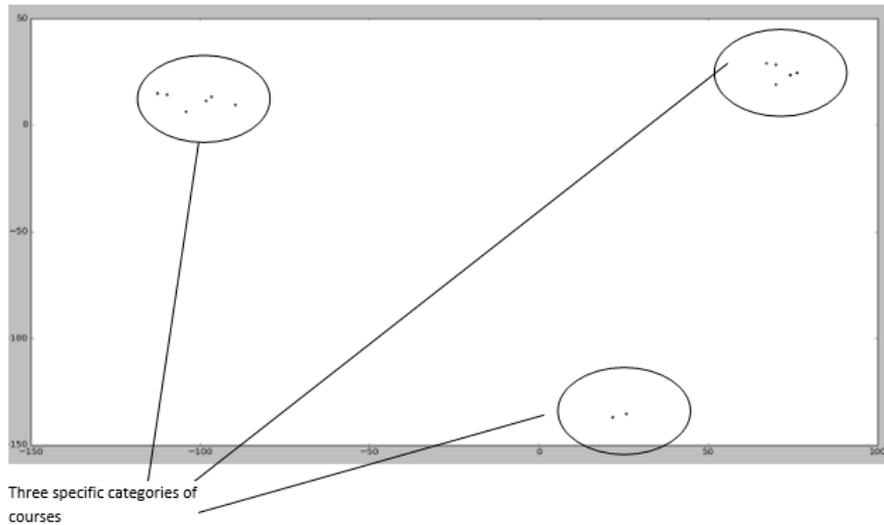
The proposed approach has been implemented in Python language. The Anaconda distribution has been used in which a set of data science libraries are pre-installed. scikit-learn has been used for implementation of clustering algorithm; while Google cloud API has been used for sentiment analysis, speech recognition and document classification. There are other cloud computing frameworks such as Azure and Amazon web services available, but Google has been selected because it is an open source solution. Following are the main features of proposed software:

- Plot the data to identify the trend: The courses that have been grouped into clusters can be visually analyzed using the matplotlib API of python
- Cluster the data using k-means clustering: The courses are grouped into clusters using k-means clustering algorithm
- Plot a particular cluster to identify the trend: A particular cluster can be analyzed to identify the strength and weakness of cluster
- List down the elements of each cluster: This option shows what are the courses that are grouped into a specific cluster
- Perform sentiment analysis and email in real-time: Sentiment analysis can be performed on the free-form comments given by a student during faculty evaluation. If the sentiments are extremely positive or negative, email can be generated and sent to higher management in real-time
- Perform online/ offline transcription and classification of topics in lecture: The recorded lectures of faculty can be transcribed in real-time / offline mode and topic classification can be performed

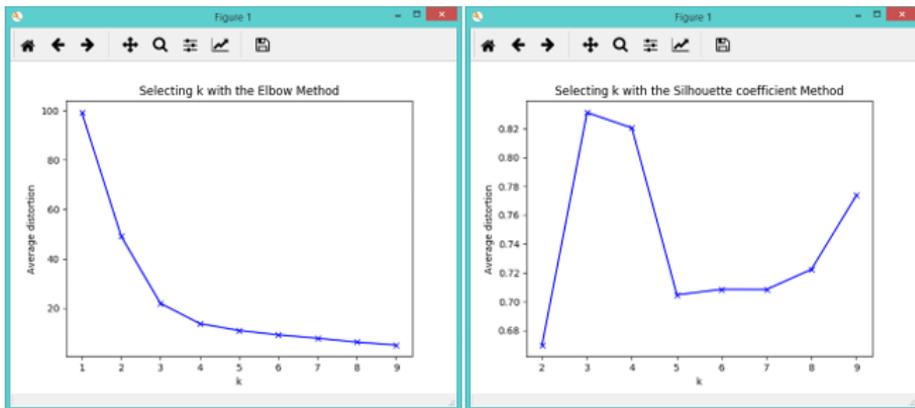
## Results

In the first experiment, clustering is applied on various courses offered to the university. Figure 2 shows the various categories in which the courses are grouped together. Based on the students' responses, the courses can be grouped into three specific categories. Figure 3 compares the number of clusters identified based on Silhouette coefficient and elbow method. The results are almost identical.

**Figure 2**  
Different categories of courses identified based on their feedback



**Figure 3**  
Selection of the number of clusters with different methods

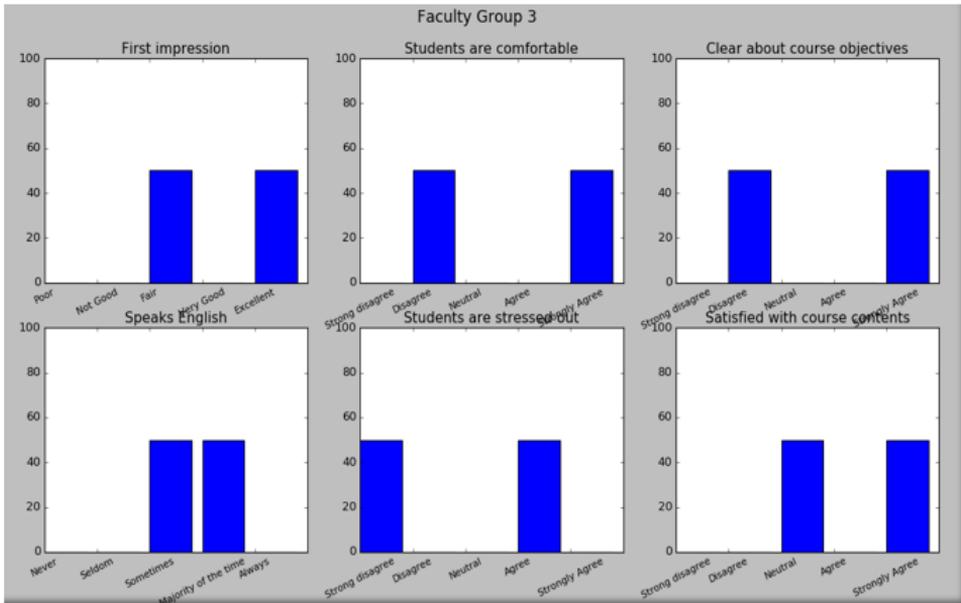


a. Selection of k with elbow method

b. Selection of k with Silhouette coefficient method

In order to find the details of specific group, one can drill down to a specific group. Figure 4 shows the strong and weak points of the third group. The students provide their opinion on a scale of 1-5. As can be seen, the teacher of third group has a very good first impression among students and the teacher speaks English most of the time in class, while the students have a mixed opinion about the course objective.

**Figure 4**  
Details of courses of group 3



In the next experiment, sentiment analysis was performed on the comments shown in Figure 5 about a senior faculty at Iqra University. The output can be seen in Figure 6. A positive sentiment is associated with the comments (threshold is set to 0.5) and an email is sent to higher management. Figure 7 shows the sentiment analysis performed using proposed approach (Google cloud API), parallel dots (ParallelDot, 2018) and text processing (TextProcessing, 2018). The results are almost same.

**Figure 5**  
Comments about a senior faculty at Iqra University

Satisfied to study these types of advance courses from Sir rather than being tested by another inexperienced faculty member.	Way of teaching needs to be bettered
Ok	Nil
good teacher	Satisfied
Nothing	good programming teacher.
No	best teacher.
Friendly teacher	Good
Fair	Good
Great Teacher	Great person

Figure 6

Details of courses of group 3

```
*****
* Welcome to the three Prong solution to Faculty Evaluation - Sentiment Analysis *
*****
Performing sentiment analysis
Results of sentiment analysis
('Score: ', 0.800080011920929)
('Magnitude: ', 0.800000011920929)
('Sending: an email with subject: ' 'Attn: Faculty Evaluation' )
```

Figure 7

Sentiment Analysis using various APIs

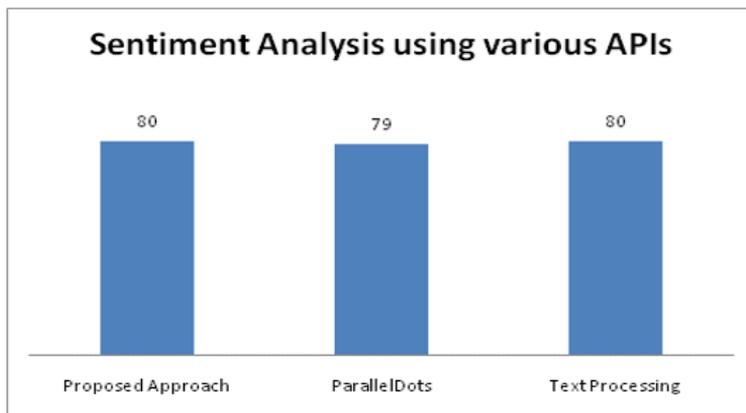


Figure 8a

Speech recognition

```
*****
* Welcome to the three Prong solution to Faculty Evaluation - Topic Classification *
*****
1: Online transcription and classification
2: Offline transcription and classification
3: Only Classification
Enter our choice:
```

Figure 8b

Topic classification

```
[]
[name: "/Science/Computer Science" confidence: 0.600000023842,
name: "/Computers & Electronics/Programming" confidence: 0.579999983311 ]
[]
[name: "/Computers & Electronics" confidence: 0.610000014305 ]
[]
[name: "/Computers & Electronics/Programming" confidence: 0.990000009537,
name: "/Science/Computer Science" confidence: 0.990000009537 ]
[name: "/Computers & Electronics" confidence: 0.600000023842 ]
```

Finally, we applied transcription on the video lectures of the faculty recorded. Figure 8a shows that the software asks for three options. You can perform transcription online or offline. The online transcription has the limit of only 60 seconds audio. Figure 8b shows the various topics that were taught in the class during the whole duration of recorded audio. It is evident that the teacher taught about computer science and programming concept in the class.

## **Conclusion**

In this paper, a three pronged solution for faculty evaluation has been proposed. The solution comprises clustering, sentiment analysis and speech recognition/ classification. The speech recognition module can work on different accents and languages. The proposed solution has inherent limitations owing to Google API. For instance, the online speech recognition module can work for limited time. In future, the proposed solution can be applied to call centers and other corporate domains.

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